

18 NCAC 06A .2045 ESTABLISHING A PLATFORM

(a) A single public platform shall be established for every securities offering that shall:

- (1) provide a communication channel that facilitates the public sharing of information related to terms of the offering through a communication channel, leveraging the "wisdom of the crowd";
- (2) identify the issuer of the securities under discussion on each communication channel;
- (3) comply with Rules .2043 and .2044 of this Section when advertising the securities offering; and
- (4) provide the prospective investor or the investor with the issuer's disclosure document(s).

(b) A platform may include the issuer's quarterly reports.

(c) The platform shall include a progress indicator for each issuer's securities offering at a location visible to the prospective investors and investors. NOTE: Examples of progress indicators are pie charts and words indicating milestones achieved or percentage of target amount reached.

History Note: Authority G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103, s.4.(a);
Eff. April 1, 2017.